# JC10 Rec'd PCT 0 25 JUL 2005

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV543592556US, in an envelope addressed to: MS PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: July 25, 2005

Signature: Many Jane DiPalma)

Docket No.: ASZD-P01-019

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Bakel Van et al.

Application No.: 10/518164

Filed: December 15, 2004

For: PROCESS FOR THE PREPARATION OF

DIOXANE ACETIC ACID ESTERS

Confirmation No.: 2743

Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

#### RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

MS PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Notification of Missing Requirements mailed July 5, 2005 (copy enclosed) Applicants respectfully submit two Combined Declaration and Power of Attorney documents, a Supplemental Application Data Sheet, an Information Disclosure Statement, an Information Disclosure Citation (PTO SB/08), 30 cited references, and payment of the surcharge under 37 CFR 1.492(e).

Please charge our Deposit Account No. 18-1945 in the amount of \$130.00 covering the fee set forth in 37 CFR 1.492(e). The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 18-1945, under Order No. ASZD-P01-019. A duplicate copy of this paper is enclosed.

Dated: <u>July 25, 2005</u>

Respectfully submitted,

07/28/2005 ATRAN1

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130.00 DA

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Registration No.: 44,735

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## 25 JUL 2005 Rec'd PCT/PTO



<u>**United States Patent and Trademark Office**</u>

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vinginia 22313-1450 www.usplut.gov

ATTY. DOCKET NO. FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. ASZD-P01-019 Hermanus C. C. K. Bakel Van 10/518,164

INTERNATIONAL APPLICATION NO.

PCT/NL03/00435

28120 FISH & NEAVE IP GROUP **ROPES & GRAY LLP** ONE INTERNATIONAL PLACE BOSTON, MA 02110-2624

Ropes & Gray

JUL 0 7 2005

I.A. FILING DATE 06/12/2003

PRIORITY DATE

06/17/2002

**CONFIRMATION NO. 2743** 

Intellectual Property Dept.

**371 FORMALITIES LETTER** 

\*OC00000016379759\* .

Date Mailed: 07/05/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

Copy of the International Application filed on 12/15/2004

Copy of the International Search Report filed on 12/15/2004

Copy of IPE Report filed on 12/15/2004

U.S. Basic National Fees filed on 12/15/2004

Priority Documents filed on 12/15/2004

Ropes & Gray

Symbol #:\_

Oatr Action Due:

5 Feb 2000 Daadline(s):

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions

of 3/ CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

INDIA L EVANS

Telephone: (703) 308-9140 EXT 212

#### PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |  |
|-----------------------------|-------------------------------|------------------|--|
| 10/518 164                  | PCT/NI 03/00435               | A \$7D PO1 010   |  |

FORM PCT/DO/EO/905 (371 Formalities Notice)

PCT/PTO 25 UUL 2005 10/518164

PTO/SB/17 (12-04v2)
Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

| Under the Paperwork Re  | duction Act of 1995   | no person are required | to respond to a colle   |                        |                    |                   | ontrol number. |  |
|---|---|------------------------|-------------------------|------------------------|--------------------|-------------------|----------------|--|
| Effective on 12/08/2004.  |   |                        | Complete if Known       |                        |                    |                   |                |  |
| Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).   |   |                        | ) Application i         | Application Number 1   |                    | 10/518164         |                |  |
| FEE TRANSMITTAL   |   |                        | Filing Date             | Filing Date [          |                    | December 15, 2004 |                |  |
| For FY 2005   |   |                        |                         | Thetrianios intenter   |                    | Bakel Van         |                |  |
| F01 F1 2005   |   |                        | Examiner Na             | Examiner Name Not You  |                    | t Yet Assigned    |                |  |
| Applicant claims small entity status. See 37 CFR 1.27   |   |                        | Art Unit                | Art Unit Not Yet Ass   |                    | <u> </u>          |                |  |
| TOTAL AMOUNT OF PAYMENT (\$) 130.00 Attorney Docket No. ASZD-P01-019  |   |                        |                         |                        |                    |                   |                |  |
| METHOD OF PAYME   | NT (check all ti  | nat apply)             |                         |                        |                    |                   |                |  |
| Check Credi   | Check Credit Card Money Order None Other (please identify): |                        |                         |                        |                    |                   |                |  |
| X Deposit Account D   | eposit Account Numb   | er: 18-1945 Deposit    | Account Name:           |                        | Ropes & Gray       | LLP               |                |  |
| For the above-ide   | entified deposit a  | ccount, the Directo    | r is hereby autho       | rized to: (ch          | eck all that apply | )                 |                |  |
| x Charge fee  | (s) indicated bel   | ow                     | Cha                     | arge fee(s) ir         | ndicated below, e  | xcept for the     | filing fee     |  |
|   | additional fee(s  | s) or underpayment     | of X Cre                | edit any oven          | payments           |                   |                |  |
| FEE CALCULATION   | CI 07 OI 1. 10 1  | 3/10 1.17              | <u> </u>                |                        |                    |                   |                |  |
| 1. BASIC FILING, SEAR   | CH. AND EXAM  | INATION FEES           | _                       |                        |                    |                   |                |  |
|   | •   |                        | EARCH FEES              | EXAM                   | NATION FEES        | ;                 |                |  |
| Application Type  |   | Small Entity           | Small Enti              |                        | Small Entity       | Face De           | : (e)          |  |
| Application Type Utility  | <u>Fee (\$)</u><br>300                                      |                        | (\$) Fee (\$)<br>00 250 | <u>Fee (\$)</u><br>200 | Fee (\$)<br>100    | Fees Pa           | 10 (2)         |  |
| ,   | •   |                        |                         |                        |                    |                   | i              |  |
| Design  | 200   |                        | 0 50                    | 130                    | 65                 |                   |                |  |
| Plant   | 200   |                        | 00 150                  | 160                    | 80                 |                   |                |  |
| Reissue   | 300   | 150 50                 |                         | 600                    | · 300              |                   |                |  |
| Provisional   | 200   | 100                    | 0 0                     | 0                      | 0                  |                   |                |  |
| 2. EXCESS CLAIM FEES Small Entity   |   |                        |                         |                        |                    |                   |                |  |
| Fee Description Fee (\$)  |   |                        |                         |                        |                    |                   |                |  |
| Each claim over 20 (including Reissues)   |   |                        |                         |                        |                    | 50                | 25             |  |
| Each independent claim over 3 (including Reissues)  200 100   |   |                        |                         |                        |                    |                   |                |  |
| Multiple dependent clair  |   | (A) ==                 | - D-14 (A)              |                        | 4WI. B             | 360               | 180            |  |
| Total Claims Ext  |   |                        | Fee Pald (\$)           |                        | Multiple Depend    |                   |                |  |
| <del></del>   | x _   |                        |                         | <u> </u>               | ee (\$)            | Fee Paid (\$)     |                |  |
| Indep. Claims Ext   | ra Claims F   | ee (\$) Fe             | e Pald (\$)             |                        |                    |                   |                |  |
| × _ = ×   |   |                        |                         |                        |                    |                   |                |  |
| 3. APPLICATION SIZE FEE   |   |                        |                         |                        |                    |                   |                |  |
| If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer     |   |                        |                         |                        |                    |                   |                |  |
| listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 |   |                        |                         |                        |                    |                   |                |  |
| sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).   |   |                        |                         |                        |                    |                   |                |  |
| Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)                     |   |                        |                         |                        |                    |                   |                |  |
| - 100 = /50 (round up to a whole number) x =<br>4. OTHER FEE(S) Fees Paid (\$)  |   |                        |                         |                        |                    |                   |                |  |
| 4. OTHER FEE(S)  Non-English Specification, \$130 fee (no small entity discount)                                      |   |                        |                         |                        |                    |                   |                |  |
| Other (e.g., late filing surcharge): 1051 Surcharge-Late oath or declaration 130.00                                   |   |                        |                         |                        |                    |                   |                |  |
| SUBMITTED BY  |   |                        |                         |                        |                    |                   |                |  |
| Signature Registration No. 44 735 Telephone (617) 951, 7615   |   |                        |                         |                        |                    |                   |                |  |
| (Autority Marie   |   |                        |                         |                        | Date               | July 25, 2005     |                |  |
| David I   | . Haisteau, Fi  | ····                   |                         |                        |                    | July 23, 2        | .000           |  |

| I hereby certify that this corresponden   |                       |      |                               |
|---|-----------------------|------|-------------------------------|
| in an envelope addressed to: MS PC below. | , Commissioner for Pa | •    | <br>3-1450, on the date shown |
|   | i                     | La C |                               |

Dated: July 25, 2005

Signature: Man

(Mary Jane DiPalma)